UNITED STATES DEPARTMENT OF COMM United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

36402

7590

10/18/2004

SPINECORE, INC. 447 SPRINGFIELD AVENUE SUITES W2-W3 SUMMIT, NJ 07901

EXAMINER				
BLANCO, JAVIER G				
ARTIINIT	PAPER NUMB			

3738

DATE MAILED: 10/18/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	RST NAMED INVENTOR ATTORNEY DOCKET NO.	
10/725.296	12/01/2003	James D. Ralph	F-301	2843

TITLE OF INVENTION: INTERVERTEBRAL SPACER DEVICE HAVING A DOMED ARCH SHAPED SPRING

	APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	L FEE(S) DUE DATE DUE	
•	nonprovisional	YES	\$685	\$300	\$985	01/18/2005	

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PA <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RI THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED A REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85 AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or claiming SMALL ENTITY status, check box 5a on Part B-Transmittal and pay the PUBLICATION FEE (if required) the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal sh completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal sho completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issu Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require paym maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

				<u>Fax</u>	(703) 746-4000			
INSTRUCTIONS: This fo appropriate. All further co- indicated unless corrected maintenance fee notification	rm should be used for trar respondence including the below or directed otherwise is.	smitting the ISSU Patent, advance or in Block 1, by (a	JE FEE and ders and not of the specifying	PUBLIC ification a new c	CATION FEE (if requ of maintenance fees v orrespondence address	ired). Blocks 1 through 5 s will be mailed to the current ; and/or (b) indicating a sepa	hould be complete correspondence ad arate "FEE ADDR	
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailin Fee(s) Transmittal. This certificate cannot be used for any other accom papers. Each additional paper, such as an assignment or formal draw				
36402 75	590 10/18/2004				have its own certificat	e of mailing or transmission.		
SPINECORE, INC. 447 SPRINGFIELD AVENUE SUITES W2-W3					Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with th States Postal Service with sufficient postage for first class mail in an addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO (703) 746-4000, on the date indicated below			
SUMMIT, NJ 0790)1					10 (703) 770 1000, 011 1110	(Deposi	
						-		
APPLICATION NO.	FILING DATE	· · · · · · · · · · · · · · · · · · ·	FIRST NAME	D INVEN	ITOR	ATTORNEY DOCKET NO.	CONFIRMATION	
10/725,296	12/01/2003		James I	D. Ralph		F-301	2843	
TITLE OF INVENTION: IN	NTERVERTEBRAL SPACE	ER DEVICE HAVI	NG A DOMI	ED ARC	CH SHAPED SPRING			
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nonprovisional	YES	\$685			\$300	\$985	01/18/2005	
EXAM	INER	ART UN	IT	CI	LASS-SUBCLASS]		
BLANCO,	JAVIER G	3738			623-017130	•		
1. Change of correspondence CFR 1.363).	e address or indication of "F	ee Address" (37		•	the patent front page, list			
<u> </u>	lence address (or Change of 22) attached.	Correspondence	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
"Fee Address" indication (or "Fee Address" Indication form			2 registere	(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME AND	RESIDENCE DATA TO B	E PRINTED ON T	HE PATENT	Γ (print o	or type)			
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	elow, no assignee of this form is NO	data will app Γa substitute	ear on t	he patent. If an assigr g an assignment.	nee is identified below, the d	ocument has been	
(A) NAME OF ASSIGN	EE	(B) RESIDENC	CE: (CIT	Y and STATE OR CO	UNTRY)		
Please check the appropriate	assignee category or catego	ries (will not be pri	inted on the p	atent) :	☐ Individual ☐ C	orporation or other private gro	oup entity 🖵 Gov	
4a. The following fee(s) are	enclosed:	4b	. Payment of	Fee(s):				
Issue Fee A check in the amount of the fee(s) is enclosed.								
				dit card. Form PTO-2038 is attached.				
Advance Order - # of	Copies		The Direction Deposit Acc	ector is l	hereby authorized by c mber	harge the required fee(s), or (enclose an extra c	credit any overpay opy of this form).	
5. Change in Entity Status a. Applicant claims Sl	(from status indicated above MALL ENTITY status. See	•	☐ b. Applic	ant is no	o longer claiming SMA	LL ENTITY status. See 37 C	FR 1.27(g)(2).	
The Director of the USPTO NOTE: The Issue Fee and Printerest as shown by the reco	is requested to apply the Issublication Fee (if required) vords of the United States Pate	ue Fee and Publicat will not be accepted ent and Trademark	tion Fee (if and I from anyone Office.	ny) or to e other th	re-apply any previousl han the applicant; a reg	y paid issue fee to the applica istered attorney or agent; or the	ation identified abo ne assignee or othe	
Authorized Signature					Date			

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, prepa submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Comme Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B Alexandria, Virginia 22313-1450.

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10/725,296	1	12/01/2003	James D. Ralph	F-301	2843
36402	7590	10/18/2004		EXAMINER	
SPINECORE,				BLANCO,	JAVIER G
447 SPRINGFI SUITES W2-W		NUE		ART UNIT	PAPER NUMB
SUMMIT, NJ 0	_			3738	

DATE MAILED: 10/18/2004

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months aft mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing dat determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Ret (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Off Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments sho directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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10/725,296	10/725,296 12/01/2003		James D. Ralph	F-301	2843
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SPINECORE,	INC.			BLANCO,	JAVIER G
447 SPRINGFI SUITES W2-W		NUE		ART UNIT	PAPER NUMB
SUMMIT, NJ 07901				3738	

DATE MAILED: 10/18/2004

Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, th amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fee increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 5 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid proc delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allo is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004 issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Proc (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the respo the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the iss now due, then the difference between the issue fee amount at the time the response is filed and the previously issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth be

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

	By a small entity (Sec. 1.2/(a))	\$685.00
	By other than a small entity	\$1,370.00
(b)	Issue fee for issuing a design patent:	
	By a small entity (Sec. 1.27(a))	\$245.00
	By other than a small entity	\$490.00
(c)	Issue fee for issuing a plant patent:	
	By a small entity (Sec. 1.27(a))	\$330.00
	By other than a small entity	\$660.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center Office of Patent Publication at (703) 305-8283.